



Sector 7

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): John R. Desjarlais
Serial No.: 09/877,695
For: APPARATUS AND METHOD FOR DESIGNING PROTEINS AND PROTEIN LIBRARIES
Filed: June 8, 2001
Examiner: Not Yet Assigned
Art Unit: 2857
Attorney Docket: 823.0093USU
Confirmation No.: 8902

**BOX MISSING PARTS
COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231**

Sir:

**TRANSMITTAL OF MISSING PARTS OF APPLICATION AND
PAYMENT OF SURCHARGE UNDER 37 C.F.R. §1.16(e)**

Transmitted herewith are the following documents pertaining to the above-identified application:

- XXX Declaration and Power of Attorney form executed by John R. Desjarlais;
- Declaration Claiming Small Entity Status (Small Business Concern);
- XXX 6 Sheets of informal drawings;
- XXX An Assignment of the Invention to : **The Penn State Research Foundation**, including \$40.00 recordation fee and Assignment Recordation Cover Sheet;
- A Certified Copy of the Priority Application;
- Information Disclosure Statement (Form - 1449); and
- XXX A copy of the Notice to File Missing Parts of Application Filing Date Granted.
- XXX The undersigned attorney has verified that the application is entitled to a Small Entity Status;
- Petition and Fee for Extension of Time (37 CFR 1.136(A)).

The Filing Fee is calculated below.

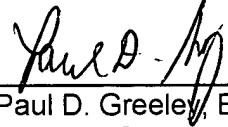
CLAIMS AS FILED				
(1) For	(2) Number Filed	(3) Number Extra	(4) Rate	(5) Basic Fee \$710.00
Total Claims	37 - 20 =	17	x \$18.00	\$306.00
Independent Claims	4 - 3 =	1	x \$80.00	\$80.00
Multiple Dependent Claim Fee			x \$270.00	= \$0.00
TOTAL FILING FEE				\$1096.00
1/2 FILING FEE FOR SMALL ENTITY				\$548.00

XXX A check in the amount of \$ 653.00 to cover the filing fee (\$548.00), surcharge (\$65.00), and Assignment recordation fee (\$40.00) is enclosed.

XXX The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16 and 1.17 which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 01-0467**. A duplicate copy of this Form is enclosed.

August 28, 2001

Date


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CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: BOX MISSING PARTS, COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231, ON AUGUST 28, 2001.

Jeffrey J. Scepanski
NAME.


SIGNATURE

8/28/01
DATE



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 WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/877,695	06/08/2001	John R. Desjarlais	823.0093USU



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CONFIRMATION NO. 8902
 FORMALITIES LETTER



OC00000006400458

Date Mailed: 08/08/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/31/2001 SSESHE1 00000051 09877695

FILED UNDER 37 CFR 1.53(b)

01 FC ₁ 201	355.00 OP
02 FC ₁ 203	153.00 OP
03 FC ₁ 202	40.00 OP
04 FC ₁ 205	65.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$193.
 - \$153 for 17 total claims over 20.
 - \$40 for 1 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 613.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

M.K.
*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

703-308-1202